



JK

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Docket No: Q66100

Kazuo KURODA, et al.

Appln. No.: 09/944,179

Group Art Unit: 2134

Confirmation No.: 9852

Examiner: David Yiuk JUNG

Filed: September 4, 2001

For: INFORMATION SENDING METHOD AND INFORMATION SENDING APPARATUS, INFORMATION RECEIVING APPARATUS AND INFORMATION RECEIVING METHOD, INFORMATION TRANSMISSION SYSTEM, AND INFORMATION TRANSMISSION METHOD, AND INFORMATION RECORDING MEDIUM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/944,179

merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: October 2, 2006

Respectfully submitted,



Francis G. Plati, Sr.
Registration No. 59,153



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66100

Kazuo KURODA, et al.

Appln. No.: 09/944,179

Group Art Unit: 2134

Confirmation No.: 9852

Examiner: David Yiuk JUNG

Filed: September 4, 2001

For: INFORMATION SENDING METHOD AND INFORMATION SENDING APPARATUS,
INFORMATION RECEIVING APPARATUS AND INFORMATION RECEIVING METHOD,
INFORMATION TRANSMISSION SYSTEM, AND INFORMATION TRANSMISSION METHOD,
AND INFORMATION RECORDING MEDIUM

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently
herewith was first cited in any communication from a foreign patent office in a counterpart foreign application
not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Francis G. Plati, Sr.
Registration No. 59,153

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: October 2, 2006



FILING RECEIPT
PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of

Kazuo KURODA, et al.

Appln. No.: 09/944,179

Group Art Unit: 2134

Confirmation No.: 9852

Examiner: David Yiuk JUNG

Filed: September 4, 2001

For: INFORMATION SENDING METHOD AND INFORMATION SENDING APPARATUS,
INFORMATION RECEIVING APPARATUS AND INFORMATION RECEIVING METHOD,
INFORMATION TRANSMISSION SYSTEM, AND INFORMATION TRANSMISSION
METHOD, AND INFORMATION RECORDING MEDIUM

PAPER(S) FILED ENTITLED:

1. Information Disclosure Statement (in duplicate with a copy of
Communication from a foreign patent office, references, PTO/SB/08
A & B (modified))
2. STATEMENT UNDER 37 C.F.R. § 1.97(e)

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

DOCKET NO.: Q66100
ATTORNEY/SEC: KGH/FGP/jnj
Date Filed: October 2, 2006

WASHINGTON OFFICE

23373

CUSTOMER NUMBER



Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/944,179
Confirmation Number	9852
Filing Date	September 4, 2001
First Named Inventor	Kazuo KURODA
Art Unit	2134
Examiner Name	David Yiuk JUNG
Attorney Docket Number	Q66100

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,864,667		01-26-1999	Barkan
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 318 097	A1	05-31-1989	Chaum, David	
		WO	00/45241	A2	08-03-2000	General Instrument Corporation	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		European Office Communication dated July 26, 2006	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.